

FORM PTO 1390  
(REV 12-29-99)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

789 070

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**09/868832**

INTERNATIONAL APPLICATION NO.

PCT/JP00/07343

INTERNATIONAL FILING DATE

20 October 2000 (20.10.00)

PRIORITY DATE CLAIMED

22 October 1999 (22.10.99)

TITLE OF INVENTION **BIOCHIP**APPLICANT(S) FOR DO/EO/US **HIROTA, Toshikazu and OHNISHI, Takao**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A ☐ copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

PCT/RO/101

PCT/IB/301

PCT/IB/304

PCT/IB/308

Publication

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)  
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  
and International Search Report not prepared by the EPO or JPO ..... \$970.00International preliminary examination fee (37 CFR 1.482) not paid to  
USPTO but International Search Report prepared by the EPO or JPO ..... \$840.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO but  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$690.00International preliminary examination fee paid to USPTO (37 CFR 1.482)  
but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$670.00International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$96.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

JUN 21 2001

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	8 - 20 =	1	X \$18.00	\$ 0.00
Independent claims	4 - 3 =	1	X \$78.00	\$ 80.00

MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$260.00

\$ 0.00

TOTAL OF ABOVE CALCULATIONS =

\$ 940.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement  
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

\$ 0.00

SUBTOTAL =

\$ 940.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)).

\$ 0.00

TOTAL NATIONAL FEE =

\$ 940.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

\$ 40.00

TOTAL FEES ENCLOSED =

\$ 980.00

Amount to be  
refunded: \$  
charged: \$

- a. ☒ A check in the amount of \$ 980.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Stephen P. Burr  
Burr & Brown  
P.O. Box 7068  
Syracuse, NY 13261-7068  
US

SIGNATURE:

Stephen P. Burr

NAME

32970

REGISTRATION NUMBER

09/868832

JC18 Rec'd PCT/PTO 2 1 JUN 2001

Practitioner's Docket No.: 789\_070

PATENT

## IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

PCT/JP00/07343

20 October 2000

22 October 1999

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

TITLE OF INVENTION

BIOCHIP

APPLICANT(S) FOR DO/US

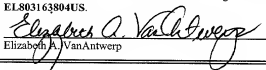
Toshikazu HIROTA and Takao OHNISHI

Box PCT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Attention: DO/US

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to the **Box PCT, Attention: DO/US, Assistant Commissioner for Patents, Washington D.C. 20231** on **June 21, 2001** under "EXPRESS MAIL" mailing label number **EL803163804US**.

  
Elizabeth A. VanAntwerp

## PRELIMINARY AMENDMENT

Sir:

Prior to examination, Applicants wish to amend the subject application as follows:

**In the Specification:**

Please replace page 14, lines 12-15, with the following:

Embodiments of the DNA microarray included in embodiments of the biochip according to the present invention will be explained below with reference to FIGS. 1 to 17.

Please replace page 39, lines 1-15, with the following:

In the embodiment of the present invention, as shown in FIGS. 16 and 17, for example, a first layer spot 80A, which is formed on the base plate 10, has a so-called doughnut-shaped configuration in which a peripheral portion 120 (see FIG. 17) is ridged, for example, by adjusting the discharge power or the like of the micropipette 34. Further, after the spot 80A having the doughnut-shaped configuration is dried, a spot 80B, which contains a different DNA fragment and which has a substantially circular planar configuration, is formed on the spot 80A. Accordingly, the spots 80A, 80B, which contain the different samples respectively, can be formed at an identical spot formation position. In this case, it is possible to

09868832 PRELIMINARY AMENDMENT

greatly reduce the arrangement area for the spot 80. It is possible to miniaturize the DNA microarray 20 itself.

**In the Claims:**

Please rewrite claim 8 as follows:

8. (Amended) A biochip according to claim 1, wherein said spots based on said sample solution are formed by means of an ink-jet system.

**In the Abstract:**

Please rewrite the abstract as follows:

**ABSTRACT**

When genetic analysis is performed by using a DNA microarray, the inspection accuracy is improved. A sample solution is supplied onto a base plate to prepare the DNA microarray comprising a large number of spots based on the sample solution arranged on the base plate. In the microarray, the planar configuration of the spot is substantially circular, and a plurality of spots having different spot sizes are formed on the base plate.

**REMARKS**

Prior to examination, Applicants respectfully request entry of this Amendment in which the specification has been amended to correct minor informalities. Pursuant to 37 C.F.R. § 1.121(b)(1)(iii), a marked-up version showing the amendments thereto is attached. No new matter has been added.

Claims 1-8 are pending herein. Applicants have amended claim 8 to eliminate multiple dependency. Pursuant to 37 C.F.R. § 1.121(c)(1)(ii), a marked-up version of claim 8 showing the amendments thereto is attached. No new matter has been added. Applicants believe the case is now in condition for examination.

Applicants have amended the Abstract to remove the reference numerals contained therein. A marked-up version showing the changes made to the Abstract is attached hereto. No new matter has been added.

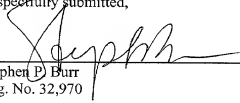
If the Examiner believes that contact with applicants' attorney would be advantageous toward the disposition of this case, he is herein requested to call applicants' attorney at the phone number noted below.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

June 21, 2001

Date

Respectfully submitted,

  
\_\_\_\_\_  
Stephen P. Burr  
Reg. No. 32,970

SPB/eav

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320

Changes to page 14, lines 12-15, are as follows:

Embodiments of the DNA microarray included in embodiments of the biochip according to the present invention will be explained below with reference to FIGS. 1 to ~~??~~17.

Changes to page 39, lines 1-15, are as follows:

In the embodiment of the present invention, as shown in FIGS. 16 and 17, for example, a first layer spot 80A, which is formed on the base plate 10, has a so-called doughnut-shaped configuration in which a peripheral portion 120 (see FIG. ~~16~~17) is ridged, for example, by adjusting the discharge power or the like of the micropipette 34. Further, after the spot 80A having the doughnut-shaped configuration is dried, a spot 80B, which contains a different DNA fragment and which has a substantially circular planar configuration, is formed on the spot 80A. Accordingly, the spots 80A, 80B, which contain the different samples respectively, can be formed at an identical spot formation position. In this case, it is possible to greatly reduce the arrangement area for the spot 80. It is possible to miniaturize the DNA microarray 20 itself.

8. (Amended) A biochip according to claim ~~any~~  
~~one of claims 1 to 7~~, wherein said spots based on said  
sample solution are formed by means of an ink-jet  
system.

Changes to the Abstract are as follows:

#### ABSTRACT

When the genetic analysis is performed by using a DNA microarray, the inspection accuracy is improved. A sample solution is supplied onto a base plate-10 to prepare the DNA microarray-20 comprising a large number of spots-80 based on the sample solution arranged on the base plate-10. In the microarray-20, the planar configuration of the spot-80 is substantially circular, and a plurality of spots having different spot sizes are formed on the base plate.



18/parts

DESCRIPTION

BIOCHIP

TECHNICAL FIELD

The present invention relates to a DNA microarray (DNA chip) which specifically reacts with a biochemical specimen and which is used for inspection equipment represented, for example, by a biochip to be used in order to obtain information on a structure of the specimen, especially in which several thousands to not less than ten thousands kinds of different types of DNA fragments are aligned and fixed at a high density as spots on a base plate such as a microscopic slide glass.

BACKGROUND ART

The method of analyzing the genetic structure has been remarkably progressed in recent years. A large number of genetic structures represented by those of human gene have been clarified. The analysis of the genetic structure uses a DNA microarray (DNA chip) in which several thousands to not less than ten thousands kinds of different types of DNA fragments are aligned and fixed as spots on a base plate such as a microscopic slide glass.

In recent years, there is a demand for enhancing the reproducibility, the quantitative performance in the information obtained from the DNA microarray and obtaining much more information from the DNA microarray. The

090832-0001

information obtained from respective spots need to be correct, uniform, and complex.

Those widely used as the method of forming the spots for the production of the DNA microarray are generally based on a system such as the QUILL system, the pin & ring system, and the spring pin system in which a sample solution containing DNA fragments is supplied (stamped) onto the base plate by using a so-called pin. When any one of the foregoing methods is adopted, it is important to suppress the dispersion of the volume and the shape of each spot so that the distance between the respective spots is maintained to be constant.

On the other hand, in order to realize a higher density, it is also greatly expected to develop a new method which is excellent in productivity and in which the shape control performance for the spot is satisfactory.

The conventional method of forming the spot is based on the supply (stamping) of the sample solution onto the base plate by using the pin. Therefore, the shape of the spot is diversified, for example, due to the shape of the forward end of the pin and/or the residue of the sample solution remaining at the forward end of the pin after the supply. As shown in FIG. 18, spots 200, each of which has a lot of irregularities at the outer circumferential portion, are formed on a base plate 202.

When unknown DNA is inspected by using a DNA microarray arranged with a large number of spots having dispersed

shapes, it is apt to be difficult to recognize the fluorescence light emission from the spot with a CCD camera or the like. Therefore, the inspection accuracy may be lowered.

5 Further, when many irregularities exist at the outer circumferential portion, the sample solution flows through angular portions. Therefore, the sample solutions in the plurality of spots 200 may be mixed with each other.

10 The present invention has been made taking the foregoing problems into consideration, an object of which is to provide a DNA microarray which makes it possible to improve the inspection accuracy for the genetic analysis and which makes it possible to increase the amount of information to be obtained.

15 Another object of the present invention is to provide a DNA microarray which makes it possible to achieve a high degree of concentration of spots and which makes it possible to perform detailed genetic analysis.

20 Still another object of the present invention is to provide a DNA microarray which makes it possible to recognize the degree of the reaction with respect to an amount of DNA fragments immobilized in a spot and which makes it possible to obtain an analog inspection result for a specimen, in addition to a digital inspection result to indicate whether or not the reaction occurs.

25 The applicable range of the present invention is not limited to the DNA microarray in which DNA fragments are

aligned and immobilized as spots. The present invention is generally usable for every type of the biochip which specifically reacts with a biochemical specimen and which is used in order to obtain information on the structure of the specimen.

#### DISCLOSURE OF THE INVENTION

The present invention lies in a biochip comprising a large number of spots based on capture solutions arranged on a base plate, obtained by supplying, onto the base plate, a plurality of types of the capture solutions each of which specifically reacts with a specimen and each of which is used to obtain information on a structure of the specimen; wherein a plurality of the spots, which have different spot sizes, are formed on the base plate.

Accordingly, it is possible for the respective spots to suppress the dispersion of the ability to capture the specimen among the spots, which would be otherwise caused by the difference in amount of the capture immobilized on the spot or by the different abilities of the captures to capture the specimen. Thus, it is possible to suppress the dispersion of inspection results and the deterioration of quantitative performance, which would be otherwise caused by the difference in detection sensitivity among the spots.

That is, the spot, which corresponds to the capture with a small amount to be immobilized on the base plate or which corresponds to the capture with a low ability to

capture the specimen, is increased in size, generally in diameter of a circular configuration. Accordingly, the detection sensitivity per one spot can be increased. As a result, it is possible to uniformize the detection sensitivities of all of the spots.

In another aspect, the present invention has the following feature. That is, when a plurality of the spots are formed for captures of an identical type on a single sheet of the base plate, then the plurality of the spots, which have different spot sizes on the base plate respectively, are formed for the captures of the identical type.

When the construction as described above is adopted, it is possible to recognize the degree of the reaction corresponding to the size of the spot, in addition to a digital inspection result to indicate whether or not the reaction occurs with respect to the captures of the identical type. Thus, it is possible to obtain an analog inspection result for the specimen. Of course, the analog inspection result can be theoretically obtained by detecting, in an analog manner, the amount of a probe which reacts with the capture immobilized in one spot. However, actually, such a procedure cannot be executed due to the restriction including, for example, the detection sensitivity of the detection equipment, the resolution, and the reaction efficiency. Therefore, the analog analysis can be performed by combining the plurality of spots using the

plurality of spots having the different sizes of the spots on the base plate respectively for the captures of the identical type as performed in the present invention, although the detection of a each spot is performed in a digital manner.

In still another aspect, the present invention lies in a biochip comprising a large number of spots based on capture solutions arranged on a base plate, obtained by supplying, onto the base plate, a plurality of types of the capture solutions each of which specifically reacts with a specimen and each of which is used to obtain information on a structure of the specimen; wherein a plurality of the spots are formed, in which an amount of a capture per unit area immobilized in each of the spots differs.

Accordingly, it is possible for the respective spots to suppress the dispersion of the ability to capture the specimen among the spots, which would be otherwise caused by the different abilities of the captures to capture the specimen, in the same manner as in the case in which the sizes of the spots differ as described above. Thus, it is possible to suppress the dispersion of inspection results and the deterioration of quantitative performance, which would be otherwise caused by the difference in detection sensitivity among the spots. That is, the concentration of the capture solution to be supplied is increased for the spot which corresponds to the capture with a low ability to capture the specimen. Accordingly, the amount of the

capture immobilized on the spot is increased per unit area, and the detection sensitivity per one spot is increased. As a result, it is possible to uniformize the detection sensitivities of all of the spots.

5           The method of changing the amount per unit area of the capture amount immobilized on one spot may be also carried out by changing the concentration of the capture solution to be supplied as described above. Alternatively, the method may be also carried out by changing the capture amount to be supplied to one spot.

10  
15  
20  
There is a certain upper limit for the capture amount immobilized per one spot. Therefore, the capture solution having a concentration lower than an average of all spots, or the capture solution in an amount smaller than an average of all spots is supplied for the spot corresponding to the capture having the high ability to capture the specimen. On the other hand, the capture solution at a concentration and/or in an amount corresponding to the upper limit of the capture amount to be immobilized or corresponding to an amount exceeding the upper limit is supplied to the spot corresponding to the capture having the low ability to capture the specimen.

25  
Mistakes tend to be caused when the concentration and the amount of the capture solution to be supplied are individually managed for the respective spots as described above. It is advantageous to simplify the step to be as simple as possible. In such a case, when the capture

solution is supplied onto the base plate by using an ink-jet method as described later on, it is preferable that the amount of solution to be supplied is changed by changing the number of discharge times for one spot.

The method of suppressing the dispersion of the ability to capture the specimen among the spots caused by the captures having the different abilities to capture the specimen by changing the concentration of the capture solution to be supplied or by changing the amount of the capture to be supplied for one spot is also used to reduce the dispersion when the immobilization ratio of the capture to be immobilized per one spot differs.

That is, as for the formation of the spot corresponding to the capture having the low immobilization ratio, it is possible to suppress the dispersion of the immobilization efficiency among the respective spots by increasing the concentration of the capture solution to be supplied, or by increasing the amount of the capture solution to be supplied per one spot.

In still another aspect of the present invention, when a plurality of spots of the captures of an identical type are formed on one sheet of the base plate, the plurality of the spots, which have different amounts of the capture per unit area immobilized on the base plate respectively, are formed for the captures of the identical type.

When the construction as described above is adopted, it is possible to recognize the degree of the reaction



corresponding to the amount of the capture immobilized per unit area of the spot, in addition to a digital inspection result to indicate whether or not the reaction occurs with respect to the capture, concerning the captures of the identical type in the same manner as in the case in which the sizes of the spots differ as described above. Thus, it is possible to obtain an analog inspection result for the specimen. Of course, the analog inspection result can be theoretically obtained by detecting, in an analog manner, the amount of a probe which reacts with the capture immobilized in one spot. However, actually, such a procedure cannot be executed due to the restriction including, for example, the detection sensitivity of the detection equipment, the resolution, and the reaction efficiency. Therefore, the analog analysis can be performed by combining the plurality of spots, although the detection itself is performed in a digital manner with the plurality of spots having the different amounts of the capture immobilized per unit area of each of the spots on the base plate respectively for the captures of the identical type as performed in the present invention.

In still another aspect, the present invention lies in a biochip comprising a large number of spots based on capture solutions arranged on a base plate, obtained by supplying, onto the base plate, a plurality of types of the capture solutions each of which specifically reacts with a specimen and each of which is used to obtain information on

1 a structure of the specimen; wherein the spots, which are  
2 composed of different types of the captures, are formed at  
3 an identical spot formation position. In this case, it is  
4 possible to greatly reduce the arrangement area for the  
5 spots, and it is possible to miniaturize the biochip itself.

6 In still another aspect, the present invention lies in  
7 a biochip comprising a large number of spots based on  
8 capture solutions arranged on a base plate, obtained by  
9 supplying, onto the base plate, a plurality of types of the  
10 capture solutions each of which specifically reacts with a  
11 specimen and each of which is used to obtain information on  
12 a structure of the specimen; wherein each of the spots has a  
13 shape of a substantially circular configuration, and a ratio  
14 between a major axis and a minor axis of the substantially  
15 circular configuration is not less than 0.9 and not more  
16 than 1.1.

17 Accordingly, the dispersion of the shape of each of the  
18 spots is reduced. It is easy to recognize the fluorescence  
19 light emission from the spot with a CCD camera or the like,  
20 and the inspection accuracy is improved. Especially, owing  
21 to the fact that the planar configuration of the spot is  
22 substantially circular, it is possible to avoid flowing the  
23 sample solution from the spot during the formation of the  
24 spot, and it is possible to prevent the sample solutions in  
25 the plurality of spots from being mixed with each other. In  
this case, it is also preferable that the spots are arranged  
at least in a zigzag configuration, and a ratio of an area

in which the spot is not deposited with respect to an inspection effective area on the base plate is not more than 22 %. In this case, it is possible to achieve a high degree of concentration of spots. Accordingly, it is possible to perform detailed genetic analysis for a large amount of a biochemical sample at once.

It is preferable for the biochip described above that the spots based on the sample solution are formed by means of an ink-jet system.

In the ink-jet system, the spot is formed by discharging the capture solution into the atmospheric air and allowing the capture solution to arrive at the base plate as a target. Therefore, the shape of the spot is a circular configuration which is approximate to a perfect circle owing to the surface tension of the sample. Therefore, the dispersion of the shape is reduced for the respective spots. Owing to the fact that the force of discharge and the number of times of discharge per unit time (discharge frequency) can be electrically controlled, the amount of the capture supplied to one spot on the base plate can be freely changed. Thus, the size of the spot and the amount of the capture per unit volume immobilized in the spot on the base plate can be varied.

Especially, the amount of the capture per unit volume is preferably varied by discharging and supplying the capture solution a plurality of times to one spot on the base plate in accordance with the ink-jet system. That is,

the capture solution is discharged and supplied a plurality of times in a divided manner without discharging and supplying a large amount of the capture solution at once. Further, the discharge interval is adjusted so that the spot formed by one time of discharge is not widened in spot diameter due to superimposition of the capture solution discharged next time. Accordingly, the amount of the capture supplied to the spot can be increased or decreased without changing the size of the spot. Thus, it is possible to vary the capture density per unit area.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 shows a perspective view illustrating a DNA microarray according to an embodiment of the present invention;

FIG. 2 shows a magnified sectional view illustrating an arrangement of the DNA microarray according to the embodiment of the present invention;

FIG. 3 shows a block diagram illustrating steps of a method of producing the DNA microarray according to the embodiment of the present invention;

FIG. 4 shows a block diagram illustrating steps as contents of a sample preparation step;

FIG. 5A shows a plan view illustrating an arrangement of a dispenser to be used for the method of producing the DNA microarray according to a first embodiment;

FIG. 5B shows a front view thereof;

FIG. 5C shows a magnified plan view illustrating one micropipette for constructing the dispenser;

FIG. 6 shows a longitudinal sectional view illustrating an arrangement of the micropipette;

5 FIG. 7 shows the shape of a flow passage including a cavity formed in a substrate of the micropipette;

FIG. 8 shows an exploded perspective view illustrating the dispenser together with a cartridge;

FIG. 9 illustrates a first method adopted when the DNA microarray is produced by using the dispenser;

FIG. 10 illustrates a second method adopted when the DNA microarray is produced by using the dispenser;

FIG. 11 illustrates a state of formation of a spot of the DNA microarray according to the embodiment of the present invention;

FIG. 12 illustrates a state in which spots are arranged in a matrix form;

FIG. 13 illustrates a state in which spots are arranged in a zigzag form;

20 FIG. 14A illustrates a state in which a plurality of spots having different spot sizes are formed on a base plate;

FIG. 14B illustrates a state in which four spots having different sizes respectively are formed for an identical DNA fragment;

25 FIG. 15A illustrates a state in which a plurality of spots are formed, in which the amount of the capture per

unit area immobilized in each of the spots differs;

FIG. 15B illustrates a state in which four spots are formed for an identical DNA fragment, in which the amount of the immobilized capture per unit area differs respectively;

FIG. 16 illustrates a state in which different types of spots are formed at an identical spot formation position;

FIG. 17 shows a sectional view taken along a line XVII-XVII shown in FIG. 16; and

FIG. 18 illustrates shapes of conventional spots.

#### BEST MODE FOR CARRYING OUT THE INVENTION

Embodiments of the DNA microarray included in embodiments of the biochip according to the present invention will be explained below with reference to FIGS. 1 to ??.

As shown in FIGS. 1 and 2, a DNA microarray 20 according to an embodiment of the present invention comprises a large number of minute spots 80 arranged on a base plate 10 by supplying (including dropwise addition) a sample solution. A poly-L-lysine layer 12 is formed on the surface of the base plate 10.

The DNA microarray 20 is produced by forming the minute spots 80 by supplying the sample solution onto the base plate 10, for example, by performing production steps as shown in FIG. 3.

That is, the DNA microarray 20 is produced by performing a pretreatment step S1 of forming the poly-L-

lysine layer 12 (see FIG. 2) on the surface of the base plate 10, a sample preparation step S2 of preparing the sample solution containing DNA fragment, and a supply step S3 of supplying the obtained sample solution onto the base plate 10.

As shown in FIG. 4, the sample preparation step S2 includes an amplification step S11 of performing PCR amplification for the DNA fragment to prepare a PCR product, a purification step S12 of purifying and drying the obtained PCR product to prepare DNA powder, and a mixing step S13 of dissolving the obtained DNA powder in a buffer solution.

The process will be specifically explained below. That is, in the pretreatment step S1, the base plate 10 is firstly immersed in an alkaline solution to perform slow shaking at room temperature for at least 2 hours. The alkaline solution is a solution which is obtained, for example, by dissolving NaOH in distilled water, and adding ethanol thereto, followed by being agitated until the solution is completely transparent.

After that, the base plate 10 is taken out, and it is transferred into distilled water, followed by being rinsed to remove the alkaline solution. Subsequently, the base plate 10 is immersed in a poly-L-lysine solution prepared by adding poly-L-lysine to distilled water, followed by being left to stand for 1 hour.

After that, the base plate 10 is taken out, and it is applied to a centrifugal machine to perform centrifugation

so that any excessive poly-L-lysine solution is removed. Subsequently, the base plate 10 is dried at 40 °C for about 5 minutes to obtain the base plate 10 with the poly-L-lysine layer 12 formed on the surface.

5 Subsequently, the sample preparation step S2 is performed. At first, 3 M sodium acetate and isopropanol are added to the PCR product amplified by using a known PCR machine (amplification step S11), followed by being left to stand for several hours. After that, the PCR product solution is centrifuged with a centrifugal machine to precipitate the DNA fragments.

10 The precipitated DNA fragments are rinsed with ethanol, followed by centrifugation. After that, the DNA fragments are dried to produce the DNA powder (purification step S12).  
15 A certain amount of x1 TE buffer is added to the obtained DNA powder, followed by being left to stand for several hours to completely dissolve the DNA powder (mixing step S13). Thus, the sample solution is prepared. The concentration of the sample solution at this stage is 0.1 to 20 10 µg/µ liter.

In the embodiment of the present invention, the obtained sample solution is supplied onto the base plate 10 to produce the DNA microarray 20 (supply step S3). An immobilizing solution may be mixed with the sample solution obtained by performing the sample preparation step S2. The sample solution may be diluted as well. In this case, the buffer solution described above, an aqueous solution



containing water and NaCl, or an aqueous solution containing polymer may be used as a diluting solution.

When the DNA microarray 20 is produced in this embodiment, for example, a disperser 30 shown in FIGS. 5A to 7 is effectively used.

As shown in FIGS. 5A and 5B, the dispenser 30 has the following arrangement. That is, for example, ten micropipettes 34 are arranged in five rows and two columns on an upper surface of a fixation plate 32 having a rectangular configuration. A group of the micropipettes 34 arranged in the direction of each column are fixed on the fixation plate 32 by the aid of a fixing jig 36 respectively.

As shown in FIGS. 5C and 6, the micropipette 34 comprises a sample-pouring port 52 which is formed at the upper surface of a substrate 50 having a substantially rectangular parallelepiped-shaped configuration, a sample discharge port 54 which is formed at the lower surface of the substrate 50, a cavity 56 which is formed between the sample-pouring port 52 and the sample discharge port 54, and an actuator section 58 which is used to vibrate the substrate 50 or change the volume of the cavity 56.

Therefore, as shown in FIG. 6, through-holes 40 are provided through the fixation plate 32 at portions corresponding to the sample discharge ports 54 of the micropipettes 34 respectively. Accordingly, the sample solution, which is discharged from the sample discharge port

54 of the micropipette 34, is supplied through the through-hole 40, for example, to the base plate 20 which is fixed under the fixation plate 32.

5 An introducing bore 60 having a substantially L-shaped configuration with a wide opening is formed over a region ranging from the sample-pouring port 52 to the inside of the substrate 50 in the micropipette 34. A first communication hole 62 having a small diameter is formed between the introducing bore 60 and the cavity 56. The sample solution, which is poured from the sample-pouring port 52, is introduced into the cavity 56 through the introducing bore 60 and the first communication hole 62.

10  
15  
20 A second communication hole 64, which communicates with the sample discharge port 54 and which has a diameter larger than that of the first communication hole 62, is formed at a position different from that of the first communication hole 62, of the cavity 56. In the embodiment of the present invention, the first communication hole 62 is formed at the portion of the lower surface of the cavity 56 deviated toward the sample-pouring port 52. The second communication hole 64 is formed at the position of the lower surface of the cavity 56 as well corresponding to the sample discharge port 54.

25 Further, in the this embodiment, the portion of the substrate 50, with which the upper surface of the cavity 56 makes contact, is thin-walled to give a structure which tends to undergo the vibration with respect to the external

stress so that the portion functions as a vibrating section 66. The actuator section 58 is formed on the upper surface of the vibrating section 66.

The substrate 50 is constructed by laminating a plurality of green sheets made of zirconia ceramics (first thin plate layer 50A, first spacer layer 50B, second thin plate layer 50C, second spacer layer 50D, and third thin plate layer 50E) followed by being sintered into one unit.

That is, the substrate 50 is constructed by laminating the thin-walled first thin plate layer 50A which is formed with a window for constructing the sample-pouring port 52 and which constitutes a part of the vibrating section 66, the thick-walled first spacer layer 50B which is formed with a part of the introducing bore 60 and a plurality of windows for constructing the cavity 56 respectively, the thin-walled second thin plate layer 50C which is formed with a part of the introducing bore 60 and a plurality of windows for constructing parts of the second communication hole 64 and the first communication hole 62 respectively, the thick-walled second spacer layer 50D which is formed with a plurality of windows for constructing a part of the introducing bore 60 and a part of the second communication hole 64 respectively, and the thin-walled third thin plate layer 50E which is formed with a window for constructing the sample discharge port 54, followed by being sintered into one unit.

The actuator section 58 is constructed to have the

vibrating section 66 described above as well as a lower electrode 70 which is directly formed on the vibrating section 66, a piezoelectric layer 72 which is composed of, for example, a piezoelectric/electrostrictive layer or an anti-ferroelectric layer formed on the lower electrode 70, and an upper electrode 74 which is formed on the upper surface of the piezoelectric layer 72.

As shown in FIG. 5C, the lower electrode 70 and the upper electrode 74 are electrically connected to an unillustrated driving circuit via a plurality of pads 76, 78 which are formed on the upper surface of the substrate 50 respectively.

The micropipette 34 constructed as described above is operated as follows. That is, when an electric field is generated between the upper electrode 74 and the lower electrode 70, then the piezoelectric layer 72 is deformed, and the vibrating section 66 is deformed in accordance therewith. Accordingly, the volume of the cavity (pressurizing chamber) 56 contacting with the vibrating section 66 is decreased.

When the volume of the cavity 56 is decreased, the sample solution charged in the cavity 56 is discharged at a predetermined speed from the sample discharge port 54 which communicates with the cavity 56. As shown in FIG. 1, it is possible to prepare the DNA microarray 20 in which the sample solutions discharged from the micropipettes 34 are aligned and fixed as minute spots 80 on the base plate 50

such as a microscopic slide glass.

In this arrangement, when the arrangement pitch of the sample discharge ports 54 in the dispenser 30 is larger than the arrangement pitch of the minute spots 80 formed on the base plate 10, the sample solution is supplied while shifting the supply position for the dispenser 30.

An apparatus structure based on the so-called ink-jet system may be adopted as the structure in which the volume of the cavity 56 is decreased in accordance with the driving of the actuator section 58 (see Japanese Laid-Open Patent Publication No. 6-40030).

The cavity (pressurizing chamber) 56 is preferably formed to have such a flow passage dimension that the sample solution containing DNA fragments or the like is moved in laminar flow.

That is, the dimension of the cavity 56 differs depending on the type of the sample, the size of liquid droplets to be prepared, and the density of formation. However, for example, when DNA fragments of base pairs having a length of about 1 to 10,000 bp are dissolved in a buffer solution (TE buffer) at a concentration of 0.5  $\mu\text{g}/\mu\text{l}$  to obtain a sample which is dripped at a pitch of several hundreds  $\mu\text{m}$  to give a liquid droplet diameter of several hundreds  $\mu\text{m}$ , then it is preferable that the cavity length (L) is 1 to 5 mm, the cavity width (W) is 0.1 to 1 mm, and the cavity depth (D) is 0.1 to 0.5 mm as shown in FIG. 7. It is preferable that the inner wall of the cavity

56 is smooth without involving any projection to disturb the flow. It is preferable that the material of the cavity 56 is made of ceramics which has good affinity with respect to the sample solution.

5 When the shape as described above is adopted, the cavity 56 can be used as a part of the flow passage ranging from the sample-pouring port 52 to the sample discharge port 54. The sample can be introduced to the sample discharge port 54 without disturbing the flow of the sample solution which is moved from the sample-pouring port 52 via the introducing bore 60 and the first communication hole 62 to the inside of the cavity 56.

10 As shown in FIG. 5A, a plurality of pins 38 for positioning and fixing the micropipettes 34 are provided on the upper surface of the fixation plate 32. When the micropipette 34 is fixed on the fixation plate 32, the micropipette 34 is placed on the fixation plate 32 while inserting the pins 38 of the fixation plate 32 into positioning holes 90 (see FIG. 5C) provided at the both sides of the substrate 50 of the micropipette 34. Thus, a plurality of micropipettes 34 are automatically positioned with a predetermined array arrangement.

15 Each of the fixing jigs 36 has a holder plate 100 for pressing the plurality of micropipettes 34 against the fixation plate 32. Insertion holes for inserting screws 102 thereinto are formed through both end portions of the holder plate 100. When the screws 102 are inserted into the

insertion holes, and they are screwed into the fixation plate 32, then the plurality of micropipettes 34 can be pressed against the fixation plate 32 by the aid of the holder plate 100 at once. One unit is constructed by the plurality of micropipettes 34 which are pressed by one holder plate 100. The example shown in FIG. 5A is illustrative of the case in which one unit is constructed by the five micropipettes 34 which are arranged in the direction of the column.

The holder plate 100 is formed with introducing holes 104 (see FIG. 5B) which are used to supply the sample solutions to the portions corresponding to the sample-pouring ports 52 of the respective micropipettes 34 respectively when the plurality of micropipettes 34 are pressed. Tubes 106 for introducing the sample solution to the introducing holes 104 respectively are held at upper end portions of the respective introducing holes 104.

Considering the realization of the efficient wiring operation, it is preferable that the width of the holder plate 100 resides in such a dimension that the pads 76, 78 connected to the respective electrodes 70, 74 of the actuator section 58 are faced upwardly when the plurality of micropipettes 34 are pressed against the fixation plate 32.

As described above, the dispenser 30 described above is constructed such that the plurality of micropipettes 34 each having the sample-pouring port 52 and the sample discharge port 54 are provided in an upstanding manner with the

respective sample discharge ports 54 directed downwardly.

That is, the respective micropipettes 34 are aligned and arranged such that the respective sample-pouring ports 52 are disposed on the upper side, the sample discharge ports 54 are disposed on the lower side, and the respective sample discharge ports 54 are aligned two-dimensionally. Sample solutions of mutually different types are discharged from the sample discharge ports 54 respectively.

When the dispenser 30 constructed as described above is used, an automatic dispenser or the like, which is constructed by combining an XY robot and the dispenser, is generally used for a method of supplying the sample solutions of mutually different types corresponding to the respective sample-pouring ports 52. However, as shown in FIG. 8, for example, a method is available, which is based on the use of a cartridge 112 arranged with a large number of recesses (storage sections) 110 each having a substantially V-shaped cross section. For this method, for example, the following procedure is available. That is, the sample solutions of the different types are poured into the respective recesses 110 of the cartridge 112 respectively. The cartridge 112 is attached so that the respective recesses 110 correspond to the tubes 106 respectively. The bottoms of the respective recesses 110 are opened with needles or the like. Accordingly, the sample solutions in the respective recesses 110 are supplied via the tubes 106 to the respective micropipettes 34.



When the tubes 106 are not used, for example, the following method is available. That is, the cartridge 112 is attached so that the respective recesses 110 correspond to the respective introducing holes 104 of the fixing jig 36 respectively. The bottoms of the respective recesses 110 are opened with needles or the like. Accordingly, the sample solutions in the respective recesses 110 are supplied via the introducing holes 104 to the respective micropipettes 34. Alternatively, needles or the like may be formed in the vicinity of the respective introducing holes 104 of the fixing jig 36 beforehand so that the respective recesses 110 may be opened simultaneously with the attachment of the cartridge 112 to the fixing jig 36.

Alternatively, it is also preferable to add a mechanism for feeding the gas or the like under the pressure after the opening to forcibly extrude the sample solutions. Further alternatively, it is also preferable to add a mechanism for making aspiration from the discharge ports of the respective micropipettes. It is desirable to provide a mechanism for washing the space ranging from the sample-pouring port 52 to the sample discharge port 54 formed in the substrate 50 of each of the micropipettes 34, for example, in order that several thousands to several tens thousands types or many kinds of DNA fragments are discharged as the minute spots 80 with good purity without involving any contamination.

In the example shown in FIG. 5A, the both ends of the holder plate 100 are tightened to the fixation plate 20 by

the aid of the screws 102. However, the holder plate 100 may be fixed in accordance with other methods based on the mechanical procedure by using, for example, an adhesive or the like, as well as screws and springs.

5 As described above, the substrate 50 for constructing the micropipette 34 is formed of ceramics, for which it is possible to use, for example, fully stabilized zirconia, partially stabilized zirconia, alumina, magnesia, and silicon nitride.

Among them, the fully stabilized/partially stabilized zirconia is used most preferably, because the mechanical strength is large even in the case of the thin plate, the toughness is high, and the reactivity with the piezoelectric layer 72 and the electrode material is low.

When the fully stabilized/partially stabilized zirconia is used as the material, for example, for the substrate 50, it is preferable that at least the portion (vibrating section 66), on which the actuator section 58 is formed, contains an additive such as alumina and titania.

20 Those usable as the piezoelectric ceramic for the piezoelectric layer 72 for constructing the actuator section 58 include, for example, lead zirconate, lead titanate, lead magnesium niobate, lead magnesium tantalate, lead nickel niobate, lead zinc niobate, lead manganese niobate, lead antimony stannate, lead manganese tungstate, lead cobalt niobate, and barium titanate, as well as composite ceramics containing components obtained by combining any of them.

However, in the embodiment of the present invention, a material containing a major component composed of lead zirconate, lead titanate, and lead magnesium niobate is preferably used, for following reason.

5 That is, such a material has a high electromechanical coupling factor and a high piezoelectric constant. Additionally, such a material has low reactivity with the substrate material during the sintering of the piezoelectric layer 72, making it possible to stably form the product  
10 having a predetermined composition.

Further, in the embodiment of the present invention, it is also preferable to use ceramics obtained by appropriately adding, to the piezoelectric ceramics described above, for example, oxides of lanthanum, calcium, strontium,  
15 molybdenum, tungsten, barium, niobium, zinc, nickel, manganese, cerium, cadmium, chromium, cobalt, antimony, iron, yttrium, tantalum, lithium, bismuth, and stannum, or a combination of any of them, or other compounds.

For example, it is also preferable to use ceramics  
20 containing a major component composed of lead zirconate, lead titanate, and lead magnesium niobate, and further containing lanthanum and/or strontium.

On the other hand, it is preferable that the upper electrode 74 and the lower electrode 70 of the actuator section 58 are made of metal which is solid at room  
25 temperature and which is conductive. For example, it is possible to use metal simple substance of, for example,

aluminum, titanium, chromium, iron, cobalt, nickel, copper, zinc, niobium, molybdenum, ruthenium, palladium, rhodium, silver, stannum, tantalum, tungsten, iridium, platinum, gold, and lead, or alloy obtained by combining any of them. It is also preferable to use a cermet material obtained by dispersing, in the metal described above, the same material as that of the piezoelectric layer 72 or the substrate 50.

Next, explanation will be made with reference to FIGS. 9 to 12 for several methods for producing the DNA microarray 20 by using the dispenser 30.

At first, a first method is shown in FIG. 9. That is, mutually different types of sample solutions are charged from the respective tubes 106 via the introducing holes 104 of the fixing jig 36 into the cavities 56 of the respective micropipettes 34 respectively. Subsequently, the respective actuator sections 58 are driven to discharge the sample solutions from the sample discharge ports 54 of the respective micropipettes 34. As for the method of charging the solution into the cavity 56, the solution may be poured in accordance with the capillary force of the solution introduced from the sample-pouring port 52. However, it is reliable to adopt a method in which the solution is charged by means of vacuum aspiration through the sample discharge port 54.

As for the voltage waveform to be applied to the respective electrodes 70, 74 of the actuator section 58, when the actuator section 58 is subjected to the ON

operation to decrease the volume of the cavity 56, a pulsed voltage is applied to the respective electrodes 70, 74. In this case, the deformation of the vibrating section 66 is increased by increasing the amplitude of the pulse, and the discharge force and the discharge amount of the sample solution are also increased corresponding thereto. When a plurality of pulses are applied for a certain period, a large number of sample solutions each having a small amount can be discharged by shortening the pulse cycle and decreasing the amplitude of each pulse.

Especially, when it is required to improve the accuracy of the control of the amount of the sample to be supplied per one spot when the DNA microarray is produced by using a plurality of micropipettes, it is preferable to adopt the method of discharging a large number of sample solutions in a small amount, for the following reason. That is, the number of times of discharge can be completely controlled electrically, and hence the minute dispersion of the discharge ability (discharge amount) for each of the micropipettes can be corrected by the number of times of discharge.

During this process, when the supply position is appropriately changed, the droplets of the supplied sample solution are combined (integrated) on the base plate 10 to form the sample solution having one spot diameter. Further, it is possible to realize a uniform spot diameter formed on the base plate 10 by controlling the number of supply

operations, the supply position, and the amount of one time supply, depending on the type of the sample solution to be supplied.

5       Next, explanation will be made for a second method based on the use of the dispenser 30. The second method is shown in FIG. 10. That is, a substitution solution such as a buffer solution, an aqueous solution containing NaCl, and an aqueous solution containing polymer is charged into the cavity 56 of each of the micropipettes 34 from each of the tubes 106 via the introducing hole 104 of the fixing jig 36 respectively. Subsequently, the sample is poured into the cavity 56 from the sample-pouring port 52 while effecting the laminar flow substitution to wait for the completion of the substitution thereafter. After that, the actuator section 58 is driven to discharge and supply the sample solution onto the base plate 10.

15       It is preferable that the completion of the laminar flow substitution of the sample in the cavity 56 is recognized by sensing the change of the fluid characteristic in the cavity 56.

20       It is preferable that the substitution between the substitution solution and the sample solution in the cavity 56 is performed in a form of the laminar flow. However, when the type of the sample is changed, or when the movement speed of the liquid is extremely fast, then it is not necessarily indispensable to use the laminar flow at portions of the cavity 56 in the vicinity of the first

communication hole 62. In this case, the purge amount of the sample solution is increased due to the mixing of the sample and the substitution solution. However, it is possible to suppress the increase in the purge amount to be minimum by judging the completion of the substitution by sensing the change of the fluid characteristic in the cavity 56.

In the present invention, the change of the fluid characteristic in the cavity 56 is recognized by applying a voltage in such a degree as to excite the vibration in the actuator section 58, and detecting the change of the electric constant caused by the vibration. Such a procedure for sensing the change of the fluid characteristic is disclosed, for example, in Japanese Laid-Open Patent Publication No. 8-201265.

Specifically, the electric connection from a power source for driving the discharge is separated from the actuator section 58 at a predetermined interval by using a relay. Simultaneously, a means for measuring the resonance frequency is connected by using the relay. At this point of time, the impedance or the resonance characteristic such as the resonance frequency or the attenuation factor is electrically measured.

Accordingly, it is possible to recognize, for example, whether or not the viscosity and the specific gravity of the liquid are those of the objective sample (liquid containing the DNA fragment or the like). That is, as for each of the

micropipettes 34, the micropipette 34 itself functions as a sensor. Therefore, it is also possible to simplify the structure of the micropipette 34.

5 The actuator section 58 is driven under a driving condition corresponding to the amount of liquid droplets suitable for the required spot diameter, and the sample solution is repeatedly supplied. Accordingly, the DNA microarray 20 is produced. Usually, when one minute spot 80 is formed, one to several hundreds of droplet or droplets are discharged from the micropipette 34.

10 When the amount of the sample in the sample-pouring port 52 is decreased, the discharge is continued by adding the buffer solution so that no bubble enters the inside of the flow passage. Accordingly, all of the sample solution can be used without allowing the sample solution to remain in the micropipette 34. The completion of the substitution from the sample to the substitution solution (completion of the sample discharge) is confirmed by detecting the viscosity and the specific gravity of the liquid by using  
15 the actuator section 58 in the same manner as described above.

20 It is preferable to use the substitution solution and the sample solution such that the dissolved gas in the solution is previously removed by performing the degassing operation. When such a solution is used, if any bubble obstructs the flow passage at an intermediate portion to cause the defective charge upon the charge of the solution



into the flow passage of the micropipette 34, then the inconvenience can be avoided by dissolving the bubble in the solution. Further, no bubble is generated in the fluid during the discharge, and no defective discharge is caused as well.

In the second method described above, the substitution solution such as a buffer solution, an aqueous solution containing NaCl, and an aqueous solution containing polymer is poured from the sample-pouring port 52 into the cavity 56 while discharging the sample solution, and the sample solution remaining in the cavity 56 is completely discharged for pouring the next sample.

When it is sensed whether or not the sample solution remains in the cavity 56 (whether or not the discharge can be effected as the sample solution), the recognition can be also made by sensing the change of the fluid characteristic in the cavity 56. In this case, a mechanism for detecting the completion of the substitution can be used to extremely decrease the purge amount of the sample which is not used and improve the efficiency of the use of the sample solution.

It is also preferable that when the sample is charged from the sample-pouring port 52 to the cavity 56, the interior of the cavity 56 is substituted with the sample from the sample-pouring port 52 while driving the actuator section 58. In this procedure, the interior of the cavity 56 can be substituted in a reliable manner with the

inexpensive substitution solution beforehand. As a result, it is possible to completely avoid the occurrence of any defective discharge, and it is possible to efficiently discharge the expensive sample.

5 Further, the following procedure may be adopted. That is, the substitution solution such as a buffer solution, an aqueous solution containing NaCl, and an aqueous solution containing polymer is charged into the cavity 56. The amount of the substitution solution existing in the cavity 56 and in the sample-pouring port 52 is adjusted to be a predetermined amount. Subsequently, a predetermined liquid amount of the sample solution is poured from the sample-pouring port 52, and then the actuator section 58 is driven in an amount corresponding to a predetermined number of pulses to discharge the amount of the substitution solution existing in the cavity 56 and in the sample-pouring port 52.

10 In this manner, the amount of the substitution solution existing in the cavity 56 and in the sample-pouring port 52 is correctly discharged, and it is possible to complete the charge of the sample solution without any loss.

15 In the first and second methods described above, for example, as shown in FIG. 11, the planar configuration of the spot 80 formed on the base plate 10 is substantially circular. In this case, the ratio between the major axis  $L_a$  and the minor axis  $L_b$  of each of the spots 80 is not less than 0.9 and not more than 1.1.

20 Accordingly, the dispersion of the shapes of the

respective spots 80 is reduced. Even when unknown DNA is inspected, it is easy to recognize the fluorescence light emission from the spot 80 with a CCD camera or the like. Thus, the inspection accuracy is improved. Especially, since the planar configuration of the spot 80 is substantially circular, it is possible to avoid the flow of the sample solution during the formation of the spot 80, and it is possible to prevent the sample solutions in the plurality of spots 80 from being mixed with each other.

In the embodiment of the present invention, as shown in FIG. 12, when a large number of spots 80 are arranged, the spots 80 can be concentrated up to positions at which the adjoining spots 80 make contact with each other. Further, as shown in FIG. 13, a large number of spots 80 can be also arranged in a zigzag configuration. In this case, when the spots 80 are concentrated up to positions at which the adjoining spots 80 make contact with each other, the ratio of the non-deposition area Ab of the spots 80 (area Ab of the portion at which the spots 80 are not formed) with respect to the inspection effective area Aa (area Aa of the substantially rectangular region in which the spots 80 are arranged) on the base plate 10 is not more than about 9 %.

As described above, in the embodiment of the present invention, it is possible to achieve the high concentration of the spots 80. Therefore, it is possible to perform the detailed genetic analysis for a large amount of the sample at once.



104250-2000000  
10  
15  
In the embodiment of the present invention, as shown in FIG. 14B, a plurality of spots having different sizes (spot diameters) respectively can be formed for an identical DNA fragment. The example shown in FIG. 14B is illustrative of a state in which four spots A<sub>1</sub> to A<sub>4</sub> having different sizes (spot diameters) respectively are formed for identical DNA fragments 1A, 2A, 3A. In this case, it is possible to recognize the degree of the reaction depending on the size of the spot, in addition to a digital inspection result to indicate whether or not the reaction occurs, with the spots of the corresponding DNA fragment. It is possible to obtain an analog inspection result for the specimen.

20  
In the embodiment of the present invention, as shown in FIG. 15A, it is possible to form a plurality of spots having different amounts per unit area of amounts of a DNA fragment immobilized in the respective spots. In the example shown in FIG. 15A, when different species of DNA having different efficiencies of hybridization with a specimen are immobilized for the respective spots 1A to 3D with different types of DNA fragments, the amount per unit area of the amount of the DNA fragment immobilized in each of the spots is changed.

25  
Specifically, the spots are formed as follows. That is, the amount per unit area of the amount of the immobilized DNA fragment is large for each of the spots 1A, 1D, 2B, 2C, 2D, 3A, 3D in which the DNA species having a low efficiency of hybridization with the specimen is

immobilized. The amount per unit area of the amount of the immobilized DNA fragment is intermediate for each of the intermediate spots 1B, 2A, 3B. The amount per unit area of the amount of the immobilized DNA fragment is small for each of the spots 1C, 3C in which the DNA species having a high efficiency of hybridization with the specimen is immobilized. Therefore, it is possible to suppress the dispersion of the ability to capture the specimen. Further, it is possible to suppress the deterioration of the quantitative performance and the dispersion of the inspection result which would be otherwise caused by the difference in detection sensitivity between the spots.

In the embodiment of the present invention, as shown in FIG. 15B, it is possible for an identical DNA fragment to form a plurality of spots having different amounts per unit area of amounts of the DNA fragment immobilized on the base plate respectively. The example shown in FIG. 15B is illustrative of a state in which four spots  $A_1$  to  $A_4$  having different amounts per unit area of amounts of DNA fragments immobilized on the base plate respectively are formed for the identical DNA fragments 1A, 2A, 3A. In this case, it is possible to recognize the degree of the reaction depending on the amount of the DNA fragment per unit area, in addition to a digital inspection result to indicate whether or not the reaction occurs, with the spots of the corresponding DNA fragment. It is possible to obtain an analog inspection result for the specimen.



manner, the production cannot be performed in accordance with the conventional pin system, because of the problem of pin contamination. However, the arrangement as described above can be efficiently realized with good accuracy by means of the ink-jet method in which spots are formed in a non-contact manner.

It is a matter of course that the DNA microarray according to the present invention is not limited to the embodiments described above, which may be embodied in other various forms without deviating from the gist of the present invention.

## INDUSTRIAL APPLICABILITY

As explained above, the following effects can be obtained in accordance with the DNA microarray of the present invention.

(1) The inspection accuracy for the genetic analysis is improved, making it possible to perform the quantitative evaluation.

(2) It is possible to achieve the high concentration of spots, and it is possible to perform the detailed genetic analysis for a large amount of sample at once.

(3) It is possible to recognize the degree of the reaction depending on the amount of the immobilized DNA fragment, in addition to the digital inspection result to indicate whether or not the reaction occurs. Thus, it is possible to obtain the analog inspection result for a



specimen.

0886833.062101  
101280.2889860

## CLAIMS

1. A biochip comprising a large number of spots based on capture solutions arranged on a base plate, obtained by supplying, onto said base plate, a plurality of types of said capture solutions each of which specifically reacts with a specimen and each of which is used to obtain information on a structure of said specimen, wherein:

a plurality of said spots, which have different spot sizes, are formed on said base plate.

2. A biochip according to claim 1, comprising a large number of spots based on capture solutions arranged on a base plate, obtained by supplying, onto said base plate, a plurality of types of said capture solutions each of which specifically reacts with a specimen and each of which is used to obtain information on a structure of said specimen, wherein:

a plurality of said spots, which have different spot sizes on said base plate respectively, are formed for captures of an identical type.

3. A biochip comprising a large number of spots based on capture solutions arranged on a base plate, obtained by supplying, onto said base plate, a plurality of types of said capture solutions each of which specifically reacts with a specimen and each of which is used to obtain

information on a structure of said specimen, wherein:

a plurality of said spots are formed, in which an amount of a capture per unit area immobilized in each of said spots differs.

5  
10  
15  
4. A biochip according to claim 3, comprising a large number of spots based on capture solutions arranged on a base plate, obtained by supplying, onto said base plate, a plurality of types of said capture solutions each of which specifically reacts with a specimen and each of which is used to obtain information on a structure of said specimen, wherein:

a plurality of said spots, which have different amounts of said capture per unit area immobilized on said base plate respectively, are formed for captures of an identical type.

20  
5. A biochip comprising a large number of spots based on capture solutions arranged on a base plate, obtained by supplying, onto said base plate, a plurality of types of said capture solutions each of which specifically reacts with a specimen and each of which is used to obtain information on a structure of said specimen, wherein:

25  
said spots, which are composed of different types of said captures, are formed at an identical spot formation position.

6. A biochip comprising a large number of spots based

on capture solutions arranged on a base plate, obtained by supplying, onto said base plate, a plurality of types of said capture solutions each of which specifically reacts with a specimen and each of which is used to obtain information on a structure of said specimen, wherein:

each of said spots has a shape of a substantially circular configuration, and a ratio between a major axis and a minor axis of said substantially circular configuration is not less than 0.9 and not more than 1.1.

7. A biochip according to claim 6, comprising a large number of spots based on capture solutions arranged on a base plate, obtained by supplying, onto said base plate, a plurality of types of said capture solutions each of which specifically reacts with a specimen and each of which is used to obtain information on a structure of said specimen, wherein:

said spots are arranged at least in a zigzag configuration, and a ratio of an area in which said spot is not deposited with respect to an inspection effective area on said base plate is not more than 9 %.

8. A biochip according to claim any one of claims 1 to 7, wherein said spots based on said sample solution are formed by means of an ink-jet system.

# ABSTRACT

When the genetic analysis is performed by using a DNA microarray, the inspection accuracy is improved. A sample solution is supplied onto a base plate 10 to prepare the DNA microarray 20 comprising a large number of spots 80 based on the sample solution arranged on the base plate 10. In the microarray 20, the planar configuration of the spot 80 is substantially circular, and a plurality of spots having different spot sizes are formed on the base plate.

FIG. 1

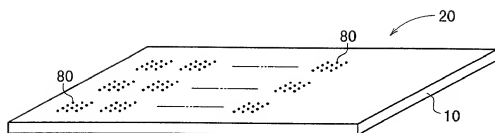
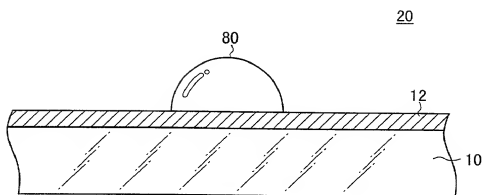
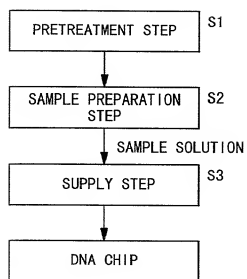


FIG. 2



3/18

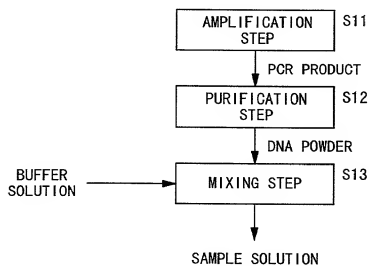
FIG. 3

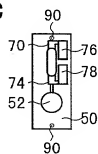




4/18

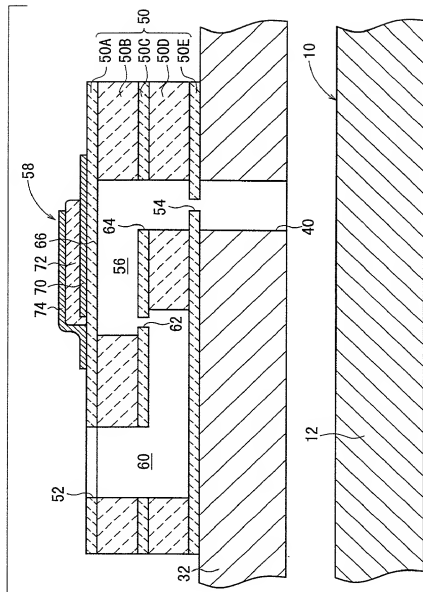
FIG. 4





0906827 0169113

FIG. 6



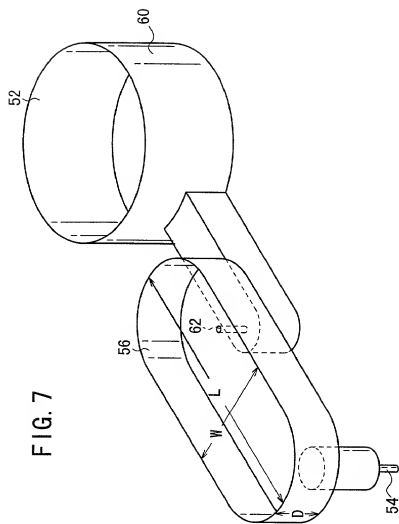
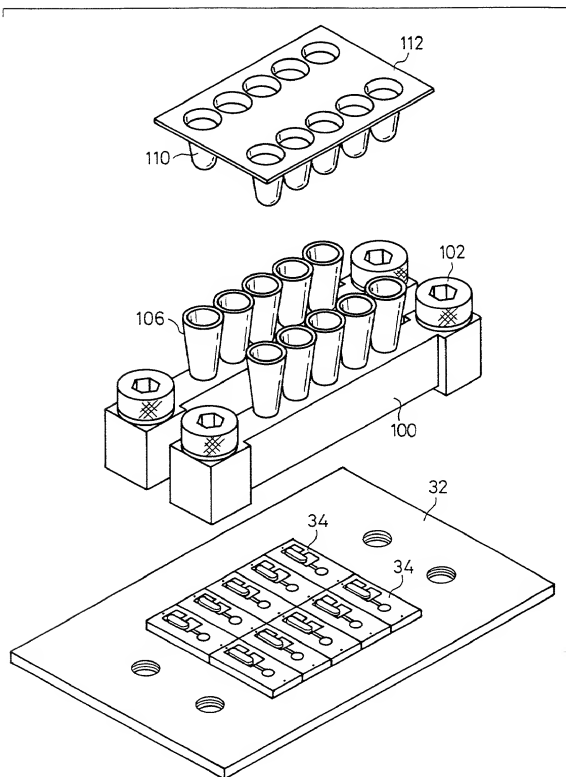
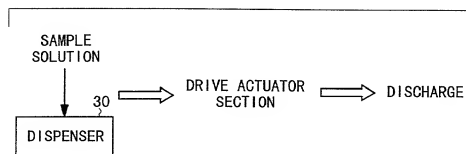


FIG. 8



9/18

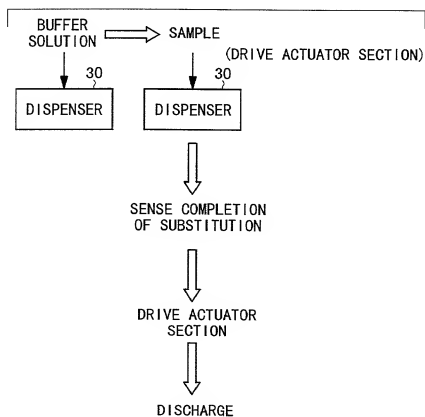
FIG. 9



101290-2288860

10/18

FIG. 10



09/868832-062101

FIG. 11

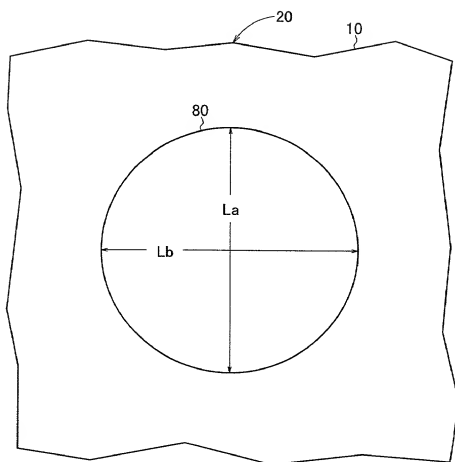




FIG. 12

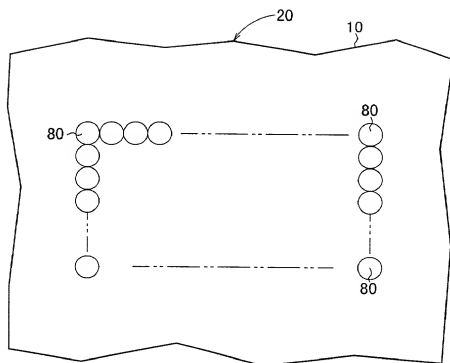


FIG. 13

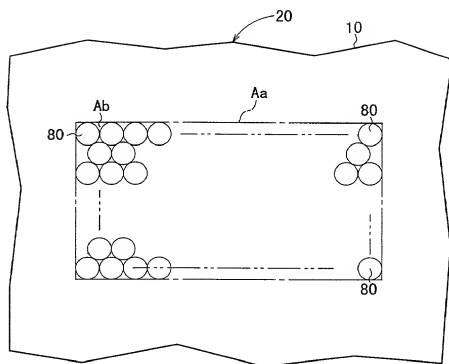


FIG. 14A

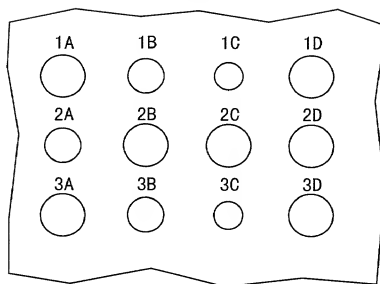


FIG. 14B

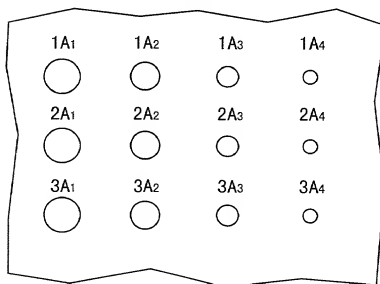


FIG. 15A

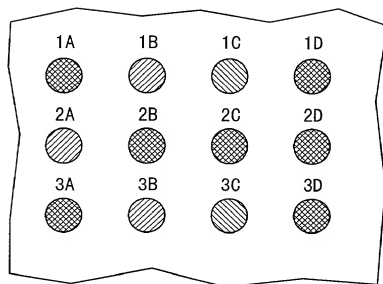


FIG. 15B

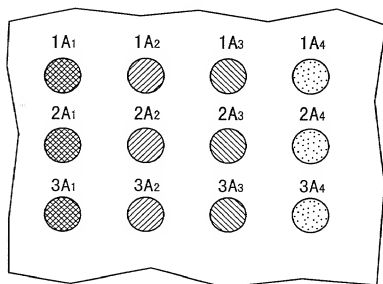


FIG. 16

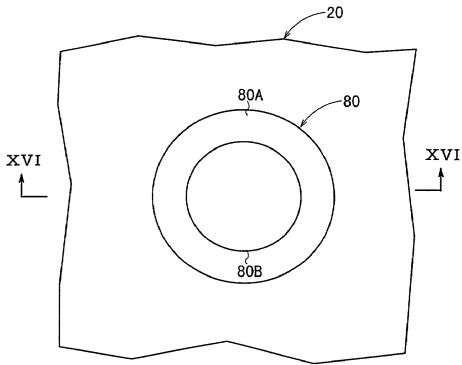


FIG. 17

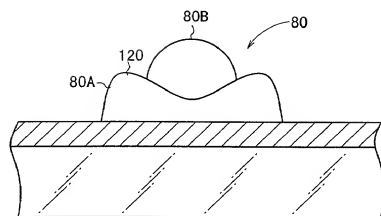
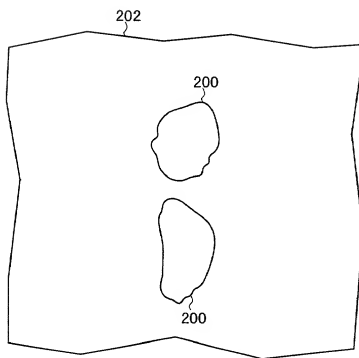


FIG. 18



**Declaration and Power of Attorney  
for Patent Application  
English Language Declaration**

- ☒ Declaration Submitted with Initial Filing  
☐ Declaration Submitted After Initial Filing  
 (surcharge (37 CFR 1.16(e) required)

<b>Attorney Docket No.</b>	789 070
<b>First Named Inventor</b>	Toshikazu HIROTA
<i>COMPLETE IF KNOWN</i>	
<b>Application Number</b>	
<b>Filing Date</b>	
<b>Group Art Unit</b>	

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

BIOCHIP

the specification of which (check one)

- ☐ is attached hereto.  
☐ was filed on \_\_\_\_\_ as United States Application No. \_\_\_\_\_.  
☒ was described and claimed in PCT International Application Number PCT/JP00/07343 filed on  
October 20, 2000 and as amended under PCT Article 19 on \_\_\_\_\_ (if any).

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International Application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International Application having a filing date before that of the application on which priority is claimed.

**Prior Foreign Application(s)**

**Priority Not Claimed**

Patent Application No. <u>11-301627</u>	<u>JAPAN</u>	<u>22 October 1999</u>	
(Number)	(Country)	(Day/Month/Year Filed)	<input type="checkbox"/>
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/>
(Number)	(Country)	(Day/Month/Year Filed)	
_____	_____	_____	<input type="checkbox"/>



I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States Provisional Application(s) listed below:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U.S.C. Section 120 of any United States Application(s), or Section 365(c) of any PCT International Application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International Application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C.F.R. Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (List names and Registration Number)

3-

Stephen P. Burr, Reg. No. 32,970  
 Kevin C. Brown, Reg. No. 32,402  
 Charu Narang Moody, Reg. No. 44,963

Send Correspondence to:

Stephen P. Burr  
Burr & Brown  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 25191

Direct Telephone Calls to: (name and telephone number)

Stephen P. Burr, (315) 233-8300

Full name of sole or first inventor

Toshikazu HIROTA

Sole or first inventor's signature

Toshikazu Hirota

Date

April 20, 2001

Residence

OHARIASAHI-CITY, AICHI-PREF., JAPAN

Citizenship

Japan

Post Office Address

c/o NGK INSULATORS, LTD., 2-56, SUDA-CHO, MIZUHO-KU, NAGOYA-CITY,  
AICHI-PREF. 467-8530, JAPAN

Full name of second inventor, if any

Takao OHNISHI

Second inventor's signature

Takao Ohnishi

Date

April 23, 2001

Residence

NISHIKASUGAI-GUN, AICHI-PREF., JAPAN

Citizenship

Japan

Post Office Address

c/o NGK INSULATORS, LTD., 2-56, SUDA-CHO, MIZUHO-KU, NAGOYA-CITY,  
AICHI-PREF. 467-8530, JAPAN